FOI031 Response

Request

1. How many whistleblowing contacts have been raised within the Trust in the following time periods:

March 2019
April 2019
March 2020
April 2020

If possible, please break this down by whether the case was raised with:
a)   The Trust's Freedom to Speak Up Guardian
b)   The Trust's other persons with responsibility for whistleblowing? This could include (but may not be limited to): executive director with responsibility for whistleblowing; non-executive director with responsibility for whistleblowing.

If it isn't possible to break it down in such a fashion, just give the aggregate figures.

2. Does the Trust hold data about these contacts/cases in a spreadsheet or database? If so, please could you provide a list of the data fields – for clarity, this could include but is not limited to categories such as "type of complaint", "status of complaint", etc.

For each item on the list of data fields, please state if the value is to be filled in as a free text field, or a value selected from a drop-down menu. For each of the fields where a value is to be selected from a drop-down menu, please include the options from the drop-down menu.

Response

1. How many whistleblowing contacts have been raised within the Trust in the following time periods:

March 2019
 None

April 2019
 None

March 2020
There has been one whistleblowing case that was reported to a senior manager.

April 2020
There has been one whistleblowing case that was reported to the Care Quality Commission.

2. Does the Trust hold data about these contacts/cases in a spreadsheet or database? If so, please could you provide a list of the data fields – for clarity, this could include but is not limited to categories such as "type of complaint", "status of complaint", etc.

The Trust holds data regarding whistleblowing contacts/cases and the database includes the following fields;

- Assignment Number
- Last Name
- First Name
- Gender
- Disability
- Ethnicity
- Division
- Organisation
- Staff Group
- Job Title
- Professional registration number
- COVID risk assessment pause or continue
- Any other known protected characteristics
- Employee relation type
- Priority Level as per guidance
- Suspension/ restricted
- Suspension/ restriction uplift date
- PIPOT
- Initial Scoping start date
- Initial Scoping end date
- Initial scoping manager
- Allegation taken from TOR if applicable
- Formal Process start date
- Date entered onto ESR
- Commissioning/ case manager
- Investigation Officer

- HR Support
- Trade Union Support
- Inclusion Advisor
- Formal Investigation End date
- Updates
- Target completion date
- Outcome investigation or no case
- Hearing date
- Hearing panel
- Hearing Outcome
- Appeal
- Appeal date
- Appeal Panel
- Appeal Outcome
- Process end date/ close

For each item on the list of data fields, please state if the value is to be filled in as a free text field, or a value selected from a drop-down menu. For each of the fields where a value is to be selected from a drop-down menu, please include the options from the drop-down menu.

The fields within the Trust whistleblowing database for contacts/cases are all free text.