



# NEW CLINICAL PROCEDURES POLICY

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Version number and date	5	November 2021
Ratifying committee or executive director	Clinical Gove	rnance Committee
Date ratified	December 202	21
Next anticipated review date	December 202	24
Executive director	Medical Direc	tor
Policy lead	Head of Clinic	cal Governance and QI
Policy author (if different from above)	As above	
Exec sign off signature (electronic)	M	
Disclosable under freedom of information act 2000	Yes	

#### **POLICY CONTEXT**

Any major new clinical intervention needs to be assessed for safety, efficacy and cost effectiveness before it is offered as a treatment by the trust. The intervention should be agreed by the Clinical Governance committee before it is undertaken by any member of Trust staff as part of routine care and practice.

#### **POLICY REQUIREMENT**

All clinical staff intending to carry out any new interventional procedure or significant new clinical procedure should seek the approval of the Clinical Governance Committee before undertaking the procedure.

The Clinical Governance Committee will only agree the use of the procedure when it is assured those adequate arrangements are in place to ensure it can be provided safely and that arrangements are in place to monitor its safety and effectiveness.

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  Due Diligence Review Form for new service models / teams

#### 1: Introduction

#### 1.1 RATIONALE (why):

- 1.1.1 Birmingham and Solihull Mental Health Foundation Trust recognises the need for new techniques and procedures. Properly researched and evidenced improvements in care can offer better outcomes to service users. However, the introduction of new procedures comes with an inherent risk, and this policy aims to detail the arrangements for ensuring there is robust governance in place so that it can be done safely, sustainably and always in the best interests of the service users.
- 1.1.2 This policy details arrangements for ensuring Trust compliance with national guidance. It aims to ensure that new approaches to treatment are evaluated and adopted to provide the best care possible using the resources available. It ensures there is a governance framework that supports safe and effective care and that this is a sustainable part of treatment pathways.

#### 1.2 SCOPE (who, where and when):

All Clinical staff need to be aware of this policy.

The policy applies to the proposed introduction of any new clinical procedure or intervention which has not previously been undertaken within the organisation and also to the use of any new interventional procedure which an individual has not undertaken before within the Trust. This applies to proposed treatments for both staff and service users, the principle being that any new procedure being applied to a person, should be reviewed for its efficacy and risks This may include for example:

- An interventional procedure which is used for the treatment or diagnosis which involves incision, puncture, entry into a body cavity, electromagnetic or acoustic energy, irrespective of its use in other healthcare organisations.
- A new mental health treatment / intervention which has not been used in the trust before, and irrespective of its use in other healthcare organisations.
- An intervention which has been used before but is now proposed for a new indication.

The policy does not apply:

- To any procedure which is part of a research study when the research governance procedure would apply, and such procedures should only be performed when research proposals have been formally agreed. Once the research project has ceased, this policy should be followed if the new treatment is recommended for the trust to adopt into practice.
- For any drug used which will be reviewed through the Pharmacological therapies committee / Trust Medicines code.
- For any existing treatment being delivered by a new service. Any change to a service model should undertake a due diligence review. (Appendix B)
- The adoption of a new tool to diagnose, assess or support clinical care. As this isn't directly applied to the patient this policy will not apply. However, if there are implications, such as changes to Rio or changing established clinical practice, it should be discussed in appropriate forums to ensure governance oversight.

This could include Local Governance Committee, professional groups / committees or Trust Clinical Governance Subcommittees. For example, a new diagnostic tool that cuts out part of the usual diagnostic pathway should be considered by the relevant Governance committee to weigh up potential risks / benefits and practice implications.

#### 1.3 PRINCIPLES (beliefs):

- **1.3.1** The use of any form of interventional procedure is most likely to have some form of direct risk to patient because of the intervention. Introduction of new procedures should be based on evidence-based practice, risk assessment, monitoring to ensure success and avoidance of harm and a sound business case.
- 1.3.2 The requirements of clinical governance place a requirement of accountability for clinical practice on all clinicians –this is overseen by clinical governance committee structures. Where any significant new intervention / procedure is proposed to be undertaken by the Trust such proposals should be formally agreed to ensure that risks to patients are minimised and that appropriate support is given to staff undertaking the intervention.
- 1.3.3 The "First do no Harm" report states that innovation has done may wonderful things, but "innovation without comprehensive pre-market testing and post marketing surveillance and long-term monitoring of outcomes is, quite simply dangerous. Crucial opportunities are lost to learn about what works well, what does not, what needs special measures put around its use, and what should be withdrawn because the risks over time outweigh the benefits" (point 1.15, page 5). This policy aims to address the relevant recommendations outlined in the report.
- 1.3.4 The Trust positively supports individuals with learning disabilities and ensures that no-one is prevented from accessing the full range of mental health services available. Staff will work collaboratively with colleagues from learning disabilities services and other organisations, in order to ensure that service users and carers have a positive episode of care whilst in our services. Information is shared appropriately in order to support this.'

#### 2 POLICY

- **2.1.1** All clinical staff intending to carry out any new interventional procedure or significant new clinical procedure should seek the approval of the Clinical Governance Committee before undertaking the procedure.
- **2.1.2** The Trust Clinical Governance Committee will only agree the use of the procedure when it is assured those adequate arrangements are in place to ensure it can be provided safely and that arrangements are in place to monitor its safety and effectiveness.

#### 3 THE PROCEDURE

- 3.1 A significant new clinical procedure should be interpreted as any clinical intervention which involves new techniques which have not been undertaken by the Trust. It may include the use of new equipment and new techniques.
- 3.2 Any clinician or clinical team who wishes to undertake a new procedure or who has not undertaken the procedure before is required to seek agreement from the Service Area Clinical Governance Committee / professional committee or Trust Clinical Governance Subcommittee, prior to presentation to the Trust Clinical Governance committee. An indication of this can be found in the flow diagram in appendix 1, although the process may be different for different interventions.
- 3.3 Where there is ambiguity or disagreement as to if a procedure falls under the remit of this policy, or the required committee scrutiny, the Head of Governance and QI / Medical director will provide guidance and their decision will be final.
- 3.4 In making a request to undertake a new procedure the clinician / clinical team will be required to provide the following information:
  - Outline the details of the procedure to be undertaken.
  - Clinical guidance / protocol to be followed.
  - Evidence base of the procedure
  - Skills and training required to undertake the procedure.
  - Equipment required to undertake the training.
  - Supervision arrangements of those undertaking the procedure.
  - Risks associated with the procedure.
  - Availability of information for patients on the procedure
  - Arrangements for clinical audit
- 3.5 Financial implications are not covered by this procedure and would be required to be undertaken and agreed separately. Financial support for a procedure should not prejudice consideration of the procedure through this policy and equally agreement by the Clinical Governance Committee should not imply that financial support for a new procedure will be given.
- 3.6 The Service Area (Local) Clinical Governance Committee (or relevant professional committee) will consider the request and if it is supported will refer the request to the Trust Clinical Governance Committee.
- 3.7 The Clinical Governance committee will consider the request and agree whether it feels adequate clinical governance arrangements are in place to support the use of this procedure.
- 3.8 Where the procedure has been reviewed by NICE the Clinical Governance Committee will assure itself that the proposal meets all the requirements set out by NICE.
- 3.9 In the exceptional event that a new procedure is required to be undertaken in an emergency, where no other treatment options are viable and the patient is at serious risk, the clinician must seek agreement from the Medical Director. The Medical Director can provide an emergency agreement in principle, which will be reported to the next Trust Clinical Governance Committee. The committee will agree a date by which this policy will then be enacted for formal review and

- approval as per this policy. This may include individual treatments and events such as outbreaks or major incidents.
- 3.10 Following agreement by the Trust Clinical Governance Committee, the new procedure, date of approval, lead clinician and sponsor will be added to the register of new clinical procedures. This register is kept and maintained by the Medical Director.
- 3.11 Twelve months after implementation of the new procedure, the lead clinician and sponsor will present an evaluation of the new procedure to the identified lead committee. This is the committee which represents the services / clinicians "most" impacted by the new procedure. Should there be any evidence of adverse events or harm, the lead committee can call for this evaluation sooner or direct additional evaluations take place after the 12-month period.
- 3.12 Almost every procedure carries an element of harm, which are overall outweighed by the benefits. However, the "First do no harm" report highlighted that without a mechanism to record and monitor adverse events, it took significantly longer to determine that very real harm was occurring in patients and delayed a review. Any additional adverse effects, which are above and beyond the known about harms or incidents, must be recorded on Eclipse. They must be clearly identified as being part of a new clinical procedure and the number and type be included in the evaluation. It is also incumbent on the clinical lead and sponsor to escalate any unexpected near misses / harm. The key principle is that we "do no harm" and if there is evidence that harm is occurring this must be escalated to the lead committee for due consideration.

4 Responsibilities

Posts	Responsibilities	Ref
All Staff	To ensure that any new clinical procedure is supported by Trust Approval priori to commencement.	
Policy Lead	To ensure that any new clinical procedure is approved through the proper systems.	

#### 5 Development and Consultation

Consultation su	mmary
Date policy issued for consultation.	April 2021
Number of versions produced for consultation.	1
Committees / meetings where policy formally discussed.	Date(s)
PDMG	September 2021 & November 2021

#### 6: Reference documents

- Health Service Circular HSC 2003 / 011 'Safe clinical innovation'
- Letter from Under Secretary of State 2004 'Implementing NICE guidance'
- Standards for Better Health (Healthcare commission) Core Standard 3
- First Do No Harm. The report of the independent medicines and medical safety review. July 2020

#### 7: Bibliography

none

#### 8: Glossary

none

#### 9: Audit and Assurance

Element to be monitored.	Lead	Tool	Frequency	Reporting Committee
Any new clinical procedure identified goes through the approval process.	Head of Clinical Effectiveness and QI	Ad hoc report when new procedure identified.	When new procedure identified	Clinical Governance Committee

### Appendix 1

**Equality Analysis Screening Form**A word version of this document can be found on the HR support pages on Connect http://connect/corporate/humanresources/managementsupport/Pages/default.aspx

Title of Proposal	Nev	w Clinical Pr	ocedures P	olicy	
Person Completing this proposa	I Hea	ather Hurst		Role or title	QI Lead
Division	Cor	porate		Service Area	Corporate
Date Started	Apr	il 2021		Date completed	April 2021
Main purpose and aims of the p	roposal and how it	fits in with t	he wider stra	ategic aims and object	tives of the organisation.
The proposal ensures that the from harm.	re is a robust gov	ernance pro	cess aroun	d new treatment and	interventions. This protects vulnerable service users
Who will benefit from the propo	sal?				
Patients and staff receiving treat	ment from the trus	it			
Impacts on different Personal Pr	otected Character	istics – Helpf	ul Questions:		
Does this proposal promote equal Eliminate discrimination? Eliminate harassment? Eliminate victimisation?	liminate harassment?		The Cumberlage "Do no harm report" report highlighted the potential risks and importance of listening to service users regarding harm. This policy reduces the risk of this occurring		
Please click in the relevant impa	ct box or leave bla	nk if you fee	I there is no	particular impact.	
Personal Protected Characteristic	No/Minimum Impact	Negative Impact	Positive Impact	Please list details or impact on protected	evidence of why there might be a positive, negative or no characteristics.
Age					
Including children and people ov Is it easy for someone of any age Are you able to justify the legal of	to find out about y		•		
Disability					
Including those with physical or s	sensory impairmen	ts, those with	learning dis	abilities and those witl	h mental health issues

Do you currently monitor who has	s a disability so tha	at vou know ł	now well vou	r service is being used by people with a disability?
Are you making reasonable adjust	•	•	•	• • • • • • • • • • • • • • • • • • • •
Gender				,
This can include male and female	or someone who	has complete	d the gender	reassignment process from one sex to another
Do you have flexible working arra	ngements for eith	er sex?		
Is it easier for either men or wom	en to access your	proposal?		
Marriage or Civil Partnerships				
People who are in a Civil Partners	hips must be treat	ed equally to	married cou	iples on a wide range of legal matters
Are the documents and informati	on provided for yo	our service re	flecting the a	ppropriate terminology for marriage and civil partnerships?
Pregnancy or Maternity				
This includes women having a bak	y and women just	after they ha	ave had a bal	by
•	•	•		ers both as staff and service users?
Can your service treat staff and pa	atients with dignit	y and respect	relation in t	o pregnancy and maternity?
Race or Ethnicity				
Including Gypsy or Roma people,				· · · · · · · · · · · · · · · · · · ·
What training does staff have to r	•			
What arrangements are in place t	o communicate w	ith people wh	no do not hav	ve English as a first language?
Religion or Belief				
Including humanists and non-beli	evers			
Is there easy access to a prayer or			•	
When organising events – Do you	take necessary st	eps to make s	ure that spir	itual requirements are met?
Sexual Orientation				
Including gay men, lesbians and b	isexual people			
Does your service use visual imag	es that could be p	eople from ar	ny backgrour	nd or are the images mainly heterosexual couples?
Does staff in your workplace feel	comfortable abou	t being 'out' o	r would offi	ce culture make them feel this might not be a good idea?
Transgender or Gender Reassignment				
This will include people who are in	n the process of o	in a care nat	hway changi	ing from one gender to another
			-	rs in the development of your proposal or service?
,		2. 2.2	2	

Human Rights						
Affecting someone's right to Life,	Dignity and Respect?	·				
Caring for other people or protect						
The detention of an individual ina	•					
If a negative or disproportionate discriminatory under anti-discrim	•	· ·	•	~	al / unlawful? I.e. Would it be	
	Yes	No				
What do you consider the level of negative impact to be?	High Impact	Medium Impa	et	Low Impact	No Impact	
If you are unsure how to answer to Diversity Lead before proceeding.  If the proposal does not have a neany required redial actions, and for	ysis will be required. The above questions, o The above impact or the in	r if you have assessed mpact is considered lo	the impact as med	dium, please seek fu	ine the next course of action. If the nount of the new the rest of the form belows	d
Action Planning:						
How could you minimise or remove	ve any negative impact	identified even if thi	s is of low significa	nce?		
How will any impact or planned a	ctions be monitored ar	nd reviewed?				
How will you promote equal opportunity protected characteristic.	ortunity and advance e	quality by sharing goo	od practice to have	a positive impact o	ther people as a result of their perso	nal

Please save and keep one copy and then send a copy with a copy of the proposal to the Senior Equality and Diversity Lead at <u>bsmhft.hr@nhs.net</u>. The results will then be published on the Trust's website. Please ensure that any resulting actions are incorporated into Divisional or Service planning and monitored on a regular basis.

## Appendix 2- Flowchart of the review and decision process for a new clinical procedure



 The Service Area Clinical Governance Committees will review the proposal, and consider the benefits and implications within the service model as well as more general considerations

Professional Committees  Professional committees will review the proposal and consider the benefits and implications for the professional group. This may include training and supervision as well as more general considerations

Trust Clinical Governance Committee  Will weigh up the benefits for the proposed new treatment, the views from the subcommittees and will make a recommendation as to if the procedure can commence within the trust.

### Appendix 3 Proposal for the introduction of a new procedure / technique

## Proposal for the Introduction of a New Procedure / Technique/ Intervention

Intervention	
Title of new	
procedure	
Name of lead	
clinician	
Title of lead	
clinician	
Contact number	
and email of lead	
clinician	This may be a Clinical Director, Executive, someone from the senior
Sponsor	management team or professional lead. They must be in a position to support / lead on the implementation of the new procedure and all other implications, such as training or financial needs.
Outline of the Pror	posed New Procedure
Brief description of	Briefly describe the proposed new treatment / procedure
what is involved.	
Target patient /	Who will be in receipt of the new treatment? How will they benefit?
staff group & the	,,,,
intended benefits	
michiada sononio	
Who would be	Describe the staffing model. How many staff/ from which team. Is it being
delivering the new	absorbed into an existing role or are there new staff / teams to recruit?
intervention?	
Staffing Implicatio	ns
Evidence of	As the lead clinician, suggesting this treatment, what is your level of
training	training expertise in the treatment already.
competence of the	
lead	
Ongoing training	What are the training requirements to ensure staff delivering the
needs for lead and	procedure are trained to the required standards? Detail what the training is, how long it takes, the frequency required, cost and who will be
any other staff	delivering the training.
Ongoing	Who can / will be delivering ongoing supervision in this new treatment? What skills / training do they need. How often will it be required?
supervision	vvriat skiiis / trairiirig do triey rieed. Flow Ofter Will it be required? 
requirements	Mhat midana will be in place to act at a dead and a serious to the
Policy / protocols	What guidance will be in place to set standards and requirements for the new intervention. How will standards be agreed and maintained.
or guidance to be	now intervention. Flow will standards be agreed and maintained.
followed.	Who / how will other convices refer or vice was for the many two two and
Impact on other	Who / how will other services refer service users for the new treatment. Who / how will aftercare be provided. Please describe all the teams /
teams / services	services that will be impacted in any way and are therefore an "interested"
and dissemination	party.
of information	

List the "lead committee" and all of the committees which are going to/ have reviewed the proposal, the date of the meeting and the outcome.	The lead committee will be the one which represents the service users and / or staff "most" effected by the new procedure. i.e., the group with a vested interest in the outcome. The 12-month evaluation will be presented to this committee, and this should be tabled on to the business cycle of the committee and reported to Trust CGC following receipt of the evaluation. For advice on which committees should be considered, contact the Head of Effectiveness and QI or the Medical Director All other interested parties should have an opportunity to scrutinise the proposal and reach an agreement as to if the proposal is agreed to / agreed with conditions / not agreed and the reasons for this.
What patient information is going to be available and used?	Describe the information that will need to be conveyed to anyone receiving treatment and how this will be done and evidenced. Will this be verbal / written? What are the arrangements to ensure informed consent? How will service users raise any adverse effects and what arrangements will be put in place to ensure they know about this.
Evaluation	
Effectiveness	If the treatment has been assessed by NICE, briefly outline the key NICE recommendations and if the proposal is compliant. Ensure the relevant guidance is listed in the reference list.  Outline any supporting research / audit.  If this treatment is already widely adopted and used elsewhere, describe this.
Risks	Outline the possible potential risks. The following lists are possible areas to consider.  What are the potential risks to the patient in terms of side effects? What happens in the event it isn't "successful" etc.  What are the potential risks to staff such as limited training / support options, for example?  Are there any environmental risks such as equipment maintenance / breakdown / storage / ligature risks?  What are the implications for indemnity insurance?
Audit / evaluation	Outline the plans for how the impact will be evaluated. Evaluation must include:  - Clinical audit to demonstrate the new intervention is being implemented as per the standards set.  - Outcome measures and evaluation of effectiveness.  - Patient feedback both positive and negative  - Any adverse events or incidents
Resource Implicati	ons
Capital cost.	Cost of equipment/ training/ environment and staff to implement the new procedure.
Recurring cost	What are the ongoing costs including equipment maintenance / staffing and training?
Efficiency gains or cost savings	Are there any efficiencies that will be made elsewhere as a result of the new procedure? Are there any cost savings or income generation?
Funding source	Has funding been agreed already or plans for where funding will be obtained?
Evidence	
Conflicts of Interest	Please detail any possible conflicts of interest of the clinical lead and sponsor or declare there are none. Declare industry links such as authorship of manuals etc. Include private practice and any impact should this be utilised by the NHS.

Glossary of the evidence (either embedded files or working hyperlinks) Proper scrutiny requires that the committees are able to review the available evidence for themselves before consideration of the proposal. Ensure all available evidence is listed here either as a working hyperlink or a reference that can be followed online or through the library.

Appendix 4- Due Diligence Review to be completed before the implementation of a new team / service or service model.

#### **DUE DILIGENCE CHECKLIST**

For changes to new and pre-existing services within the Trust – please note that a CQEIA must be completed for all such projects/ changes.

Outline of the proposed changes inc. anticipated benefits and possible risks.	
Project Lead:	
Contact Email:	
Contact Telephone N°:	
NB: All th	e following points need to be considered:
CQC regulation	<ul> <li>Does this change affect our CQC registration? Does the service need to be registered?</li> <li>Ensure a ratings certificate is displayed as appropriate If you do not know contact Head of Regulatory Compliance (ext. 1076) to review the change and confirm.</li> </ul>
Risk Assessment and CQEIA	<ul> <li>Have you assessed the risks of the changes for the project?</li> <li>Are these risks being reviewed regularly? By whom?</li> <li>Which senior manager would you escalate the risks to?</li> <li>What committee would own these risks?</li> <li>How do the risks get escalated?</li> </ul>
Policy Mapping	Do the changes impact on any existing policies within the Trust? Would they mean that the service was no longer compliant with the policies?  If so decisions would have to be made about altering the policy or the service. These issues will need escalating. Contact Compliance Manager (ext.1030) for support.
	<ul> <li>Key policies to review are (this is not an exhaustive list):</li> <li>Care Programme and Care Support Policy</li> <li>Medicines Code</li> <li>Resuscitation</li> <li>Referral Management and Appointment policy</li> <li>Care Records Management</li> <li>Incident Reporting and Management</li> <li>Lone Working</li> <li>Health and Safety policy</li> <li>Risk Management</li> <li>Infection Prevention and Control</li> <li>Admission, Transfer, Discharge and Follow Up Policy</li> </ul>

Clinical Procedures	Are there any clinical procedures and/or equipment
Cililical Flocedules	which will be introduced by the service which are not
	currently used by the Trust?
	Contact Clinical Governance Manager (ext.1090) for support.
Governance	How does this new service fit into the clinical
	governance arrangements for the service area and the
	Trust?
	<ul> <li>How will we continue to be assured that it is safe and providing a quality service?</li> </ul>
Records management	Are there any changes required in the electronic record for the
necords management	new service? Systems to consider include RiO, ESR, Eclipse
	etc
	<ul> <li>Teams to be set up/altered</li> </ul>
	<ul> <li>Forms to be built</li> </ul>
	Reporting arrangements reviewed
Internal relations	How will the rest of the Trust know about the changes
	to the new services?
	<ul> <li>Do the changes affect other services? If so how are these being communicated and agreed?</li> </ul>
	<ul> <li>Does Connect need to be updated? (including</li> </ul>
	information about the service, telephone numbers and
	location)
	Which operational policy does the service come under
	does it need amending or a new one constructing?
	<ul> <li>Have all relevant departments been contacted/</li> </ul>
	notified? Add any not listed:
	notified? Add any not listed:
	notified? Add any not listed:  Team contacted/ notified?  Estates Team  Yes/ No/ N/A
	notified? Add any not listed:  Team contacted/ notified?  Estates Team Facilities Team
	notified? Add any not listed:  Team contacted/ notified?  Estates Team Facilities Team Fire Officer
	rotified? Add any not listed:  Team contacted/ notified?  Estates Team Facilities Team Fire Officer Health & Safety/ Risk Team
	Team contacted/ notified?  Estates Team Facilities Team Fire Officer Health & Safety/ Risk Team IT Team
	Team contacted/ notified?  Team contacted/ notified?  Estates Team Facilities Team Fire Officer Health & Safety/ Risk Team IT Team Local Security Management
	Team contacted/ notified?  Team contacted/ notified?  Estates Team Facilities Team Fire Officer Health & Safety/ Risk Team IT Team Local Security Management Specialist
	Team contacted/ notified?  Team contacted/ notified?  Estates Team Facilities Team Fire Officer Health & Safety/ Risk Team IT Team Local Security Management
	Team contacted/ notified?  Team contacted/ notified?  Estates Team Facilities Team Fire Officer Health & Safety/ Risk Team IT Team Local Security Management Specialist
	Team contacted/ notified?  Team contacted/ notified?  Estates Team Facilities Team Fire Officer Health & Safety/ Risk Team IT Team Local Security Management Specialist
	Team contacted/ notified?  Team contacted/ notified?  Estates Team Facilities Team Fire Officer Health & Safety/ Risk Team IT Team Local Security Management Specialist
	Team contacted/ notified?  Team contacted/ notified?  Estates Team Facilities Team Fire Officer Health & Safety/ Risk Team IT Team Local Security Management Specialist
Estates	Team contacted/ notified?  Team contacted/ notified?  Estates Team Facilities Team Fire Officer Health & Safety/ Risk Team IT Team Local Security Management Specialist
Estates	Team contacted/ notified?  Team contacted/ notified?  Estates Team Facilities Team Fire Officer Health & Safety/ Risk Team IT Team Local Security Management Specialist Project Management Office  Detailed Project Brief available?  Confirmation on budget expectations.
Estates	Team contacted/ notified?  Team contacted/ notified?  Estates Team Facilities Team Fire Officer Health & Safety/ Risk Team IT Team Local Security Management Specialist Project Management Office  Detailed Project Brief available? Confirmation on budget expectations. Is there an associated budget available for the works?
Estates	Team contacted/ notified?  Team contacted/ notified?  Estates Team Facilities Team Fire Officer Health & Safety/ Risk Team IT Team Local Security Management Specialist Project Management Office   Detailed Project Brief available? Confirmation on budget expectations. Is there an associated budget available for the works? What are the anticipated time frames?
Estates	Team contacted/ notified?  Team contacted/ notified?  Estates Team Facilities Team Fire Officer Health & Safety/ Risk Team IT Team Local Security Management Specialist Project Management Office   Detailed Project Brief available? Confirmation on budget expectations. Is there an associated budget available for the works? What are the anticipated time frames? Do the changes impact any other services?
Estates	Team contacted/ notified?  Team contacted/ notified?  Estates Team Facilities Team Fire Officer Health & Safety/ Risk Team IT Team Local Security Management Specialist Project Management Office  • Confirmation on budget expectations. • Is there an associated budget available for the works? • What are the anticipated time frames? • Do the changes impact any other services? • Are estates expected to escort, can security / clinical
Estates	Team contacted/ notified?  Team contacted/ notified?  Estates Team Facilities Team Fire Officer Health & Safety/ Risk Team IT Team Local Security Management Specialist Project Management Office  Onfirmation on budget expectations. Is there an associated budget available for the works? What are the anticipated time frames? Do the changes impact any other services? Are estates expected to escort, can security / clinical teams help?
Estates	Team contacted/ notified?  Team contacted/ notified?  Estates Team Facilities Team Fire Officer Health & Safety/ Risk Team IT Team Local Security Management Specialist Project Management Office  Detailed Project Brief available? Confirmation on budget expectations. Is there an associated budget available for the works? What are the anticipated time frames? Do the changes impact any other services? Are estates expected to escort, can security / clinical teams help? Are there any lessons learnt from similar projects in
Estates	Team contacted/ notified?  Team contacted/ notified?  Estates Team Facilities Team Fire Officer Health & Safety/ Risk Team IT Team Local Security Management Specialist Project Management Office  Onfirmation on budget expectations. Is there an associated budget available for the works? What are the anticipated time frames? Do the changes impact any other services? Are estates expected to escort, can security / clinical teams help?

	Cost implications on operational budgets moving
	forward in terms of PPM's confirmed?
	Where water services are included – have changes to     water systems been approved via Water Safety.
	water systems been approved via Water Safety Group, provision for plans to be updated put in place?
Fire	Annual review or miscellaneous changes to a sites
1116	Local Operating Procedure, to be submitted to local
	H&S meeting for ratification with the reviewed copy
	forwarded to the Trust Fire Safety Advisor.
	<ul> <li>Actions following a Fire Risk Assessment to be</li> </ul>
	complied and returned to Fire Safety Advisor on two
	month review/request
	Fire incidents will be fully investigated by the Trust Fire
	Safety Advisor, with outcomes submitted to the
	'Responsible Person'. A review of which will be
	<ul> <li>undertaken by the Trust within two months of receipt.</li> <li>Actions from un-announced Fire Evacuation Drills to be</li> </ul>
	used when reviewing the LOP
	Staff nominations for F2F Training to be submitted to
	Adam Lee via email to
	bsmhft.healthandsafety@nhs.net
Health & Safety	A request must be submitted for a new/ updated
	environmental, ligature, COVID-19 risk assessment for
	the service or change (contact the Health and Safety
	Team for support with this) bsmhft.healthandsafety@nhs.net
	Responsibilities need to be defined/ agreed – who is
	managing the building/ point of contact for any queries/
	concerns
	Trained staff identified i.e. First Aiders
	DSE Assessments updated where required
Security	There will need to be a Security Risk assessment of any
	building and/or service provision to ensure that appropriate
	levels of security commensurate with the proposed service
	provision are in place or implemented, as necessary. Such
	considerations are the requirement for: <ul><li>anti-barricade/vision panels,</li></ul>
	lone working,
	staff assistance alarm systems,
	reception desk safety,
	<ul> <li>access control into and within the building,</li> </ul>
	drug storage provision and
	CCTV for example.
Staffing	Arrangements for staffing
	Arrangements for training
	HR support
	Local induction
	Welfare facilities
F	DSE assessments
Equipment	PPE, uniforms, medication bags, lone working  devices pippoint alorge, lentens, media phones
	devices, pinpoint alarms, laptops, mobile phones
	(Ordered through procurement system only)
Medication Safety	Appropriate fridges, controlled drugs management,
	stock check processes etc