

FOI0543/2025 Response

Dear FOI Officer,

I am writing to request information under the Freedom of Information Act 2000 regarding the governance of Artificial Intelligence (AI) within your NHS Trust. Please respond to the following questions based on information held by the Trust.

AI Governance Policies and Procedures

1. Does the Trust have any formal policies, procedures, or frameworks explicitly governing using Artificial Intelligence (AI) within the organisation?

- **If yes, please provide copies of these documents.**

A formal AI Policy is planned to be developed.

In the interim, a section has been added to the Trust's ICT Policy, please refer to the attached policy, section 3.40 on page 17.

Please note that staff members names have been removed from the policy as we do not routinely release their personal information.

2. Has the Trust developed any internal guidance, protocols, or decision-making frameworks for assessing and approving AI technologies for use within the organisation?

- **If yes, please provide copies of these documents.**

Please refer to the response provided for question 1.

3. Does the Trust have a specific governance, ethics, or oversight group responsible for AI implementation and compliance?

- **If yes, please provide this group's Terms of Reference (ToR).**

The Trust have in place a System Strategy Group (SSG) committee, which is a body that reviews and approves the development and maintenance of our clinical and non-clinical systems and technologies – including AI.

For further details please see attached Terms of Reference (ToR) and note that the ToR is currently being updated.

4. Does the Trust's Data Protection Impact Assessment (DPIA) template include specific questions related to AI governance, risk assessment, or compliance?

- **If yes, please list the relevant questions.**

The Trust's DPIA does not currently include a section around AI. This is planned to align with the Trust's AI policy which is currently in development.

In the interim, please note that the Trust's DPIA does contain a section regarding risk assessment and this would be covered as part of this.

5. Does the Trust have specific guidance or requirements for completing DPIAs when deploying AI-driven systems?

- If yes, please provide copies of relevant guidance documents.

Please refer to the response provided for question 4.

6. Has the Trust developed any documented processes for assessing and managing risks associated with AI technologies?

- If yes, please provide copies of relevant risk management documents.

Not currently.

7. Please list AI-enabled systems, applications, or tools in the Trust.

- Where possible, include the names of vendors or system providers.

The ICT policy has an appendix of AI website that are available to staff. Please refer to the attached policy.

8. Has the Trust deployed AI-based clinical decision support systems, patient monitoring tools, or administrative AI applications (e.g., scheduling, triage, diagnostics, or workforce management)?

- If yes, please list the systems and their primary functions.

Currently there is no AI-based clinical decision support systems, patient monitoring tools, or administrative AI applications.

9. What is the Trust's latest Digital Maturity Index (DMI) score for each domain assessed?

The Trust do not have access to this data.

10. Does the Trust have a formal AI strategy or roadmap for future AI adoption and governance?

- If yes, please provide copies of any relevant documents.

Please refer to the response provided for question 1.